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		Application Number	10/521,544-Conf. #9344
TRANSMITT	AL	Filing Date	January 14, 2005
FORM		First Named Inventor	Shiro Sakai
(to be used for all correspondence after initial filing)		Art Unit	2826
	(to be used for all correspondence after initial marg)		F. Abraham
Total Number of Pages in This Submiss	sion 6	Attorney Docket Numb	08228/071001
EN	ICLOSURES	(Check all that app	oly)
Fee Transmittal Form	Drawing(s)		After Allowance Communication to TC
Fee Attached	Licensing-rel	lated Papers	Appeal Communication to Board of Appeals and Interferences
Amendment/Reply	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
After Final	Petition to Co		Proprietary Information
Affidavits/declaration(s)		mey, Revocation prrespondence Address	Status Letter
Extension of Time Request	Terminal Dis	claimer	X Other Enclosure(s) (please Identify below):
Express Abandonment Request	Request for	Refund	IDS (Citation) by Applicant (3 references) (1 page)
X Information Disclosure Statement	CD, Number	of CD(s)	References enclosed (3) Certificate of Express Mailing (1 page) Return Receipt Postcard
Certified Copy of Priority Document(s)	Landso	cape Table on CD	
Reply to Missing Parts/ Incomplete Application	Remarks	· · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · · · ·
Reply to Missing Parts under 37 CFR 1.52 or 1.53			
SIGNATI	UPE OF APPLICA	ANT, ATTORNEY, OF	2 AGENT
Firm Name OSHA : LIANG LLP		AIT, ATTORICET, OF	\ AOLIVI
Signature & & . /	Mexican	- REG. N	0.31,124
Printed name Jonathan P. Osha	10	1	
Date October 26, 2006		Reg. No.	33 086



Application No. (if known): 10/521,544

Attorney Docket No.: 08228/071001

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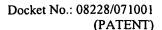
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Shiro Sakai et al.

Application No.: 10/521,544

Confirmation No.: 9344

Filed: January 14, 2005

Art Unit: 2826

For:

GALLIUM NITRIDE-BASED COMPOUND

Examiner: F. Abraham

SEMICONDUCTOR DEVICE

INFORMATION DISCLOSURE STATEMENT (IDS)

MS: AMENDMENT Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

I hereby certify, pursuant to 37 CFR 1.97(e)(1), that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Docket No.: 08228/071001 Application No.: 10/521,544

In accordance with 37 CFR 1.98(a)(2)(ii), Applicant has not submitted copies of

U.S. patents and U.S. patent applications. Applicant submits herewith copies of foreign patents

and non-patent literature in accordance with 37 CFR 1.98(a)(2).

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure

Statement shall not be construed to mean that a search has been made or that no other material

information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing

of this Information Disclosure Statement shall not be construed to be an admission that any

patent, publication or other information referred to therein is "prior art" for this invention unless

specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37

CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed,

asserted to be filed or which should have been filed herewith to our Deposit Account

No. 50-0591, under Order No. 08228/071001.

Dated: October 26, 2006

Respectfully submitted,

For: Jorathan P. Osha

Registration No.: 33,986

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OCT 2 6 2006

PTO/SB/08a/b (07-06)
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Substitute for form 1449A/B/PTO		Complete if Known			
				Application Number	10/521,544-Conf. #9344
IN	INFORMATION DISCLOSURE			Filing Date	January 14, 2005
STATEMENT BY APPLICANT			APPLICANT	First Named Inventor	Shiro Sakai
-				Art Unit	2826
(Use as many sheets as necessary)		Examiner Name	F. Abraham		
Sheet	1	of	1	Attomey Docket Number	08228/071001

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	→	
Initials*	No.'	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	Ļ	
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	CA	European Search Report issued on October 6, 2006 for corresponding European Patent Application No. 03738612.5-2222, PCT/JP0308365, 2 pages				
	СВ	Mary H. Crawford, et al. Design and Performance of Nitride-based UV LEDs, Vol. 3938, 2000, pages 13-23, XP008060093 (cited in European Search Report), 11 pages				
	CC	M. Shatalov, et al. Band-edge luminescence in quaternary AllnGaN light-emitting diodes, Vol. 78, No. 6, February 5, 2001, pages 817-819, XP012028574 (cited in European Search Report), 3 pages				

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